| 01. | E | Attorney's Docket No. H113 |
|---------|--------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------|
| MAR Q 4 | 2004 IN THE UNITED STATES P | ATENT AND TRADEMARK OFFICE |
| TRADE | The Patent Application of |) |
| | Bin YU et al. |) Group Art Unit: 2811 |
| | Application No.: 10/614,177 |) Examiner: Unassigned |
| | Filed: July 8, 2003 |) |
| | For: FLASH MEMORY DEVICE |) |
| | | ISCLOSURE STATEMENT MITTAL LETTER |
| | TRANSP | MITAL LETTER |
| | U.S. Patent and Trademark Office 2011 South Clark Place Customer Window, Mail Stop Crystal Plaza Two, Lobby, Room 1B03 Arlington, Virginia 22202 | |
| | Sir: | |
| | Enclosed is an Information Disclosu | are Statement and accompanying form PTO-1449 for |
| | the above-identified patent application. | |
| | No additional fee for submis | sion of the IDS is required. |
| | The fee of \$180.00 as set for | th in 37 C.F.R. § 1.17(p) is also enclosed. |
| | A certification under 37 C F | R & 1.97(e) is also enclosed |

Charge \$ _____ to Deposit Account No. 50-1070 for the fee due.

A check in the amount of \$ _____ is enclosed for the fee due.

Information Disclosure Statement Transmittal Letter
Application Serial No. 10/614,177
Attorney's Docket No. H1130
Page 2

The Commissioner is hereby authorized to charge any other appropriate fees that may be required by this paper that are not accounted for above, and to credit any overpayment, to Deposit Account No. 50-1070.

Respectfully submitted,

HARRITY & SNYDER, L.L.P.

By:

Glenn Snyder Reg. No. 41,428

11240 Waples Mill Road Suite 300 Fairfax, Virginia 22030 (571) 432-0800

CUSTOMER NUMBER: 26615

Date: March 4, 2004



IE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Patent Application of |) |
|-----------------------------|------------------------|
| Bin YU et al. |) Group Art Unit: 2811 |
| Application No.: 10/614,177 |) Examiner: Unassigne |
| Filed: July 8, 2003 |) |
| Title: FLASH MEMORY DEVICE |) |

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

U.S. Patent and Trademark Office 2011 South Clark Place Customer Window, Mail Stop Crystal Plaza Two, Lobby, Room 1B03 Arlington, Virginia 22202

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant(s) bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed before the mailing date of a first Office Action in the above-referenced application. As such, no certification or fee is required. Copies of the listed documents are attached.

Applicant(s) respectfully request(s) that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

Information Disclosure Statement Under 37 C.F.R. § 1.97(b)
Application Serial No. 10/614,177
Attorney's Docket No. H1130
Page 2

If any copending application(s) is/are cited on the attached PTO 1449, the Examiner's attention is directed to the foregoing application(s) in compliance with § 2001.06(b) of the Manual of Patent Examining Procedure. By identifying the copending application(s), the assignee and/or applicant of the application(s) do not waive confidentiality of the application(s). Accordingly, the U.S. Patent and Trademark Office is requested to maintain the confidentiality of the copending application(s) under 35 U.S.C. § 122.

This submission does not represent that a search has been made and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicant(s) determine(s) that the cited document(s) do not constitute "prior art" under United States law, Applicant(s) reserve(s) the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve(s) the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

Information Disclosure Statement Under 37 C.F.R. § 1.97(b)
Application Serial No. 10/614,177
Attorney's Docket No. H1130
Page 3

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 50-1070.

Respectfully submitted,

HARRITY & SNYDER, L.L.P.

Ву: ___

Glenn Snyder Reg. No. 41,428

11240 Waples Mill Road Suite 300 Fairfax, Virginia 22030 (571) 432-0800

CUSTOMER NUMBER: 26615

Date: March 4, 2004

| SHEET 1 OF |
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| INFORMATION |
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| DISCLOSURE |
| CITATION |

CUSTOMER NUMBER

26615

ATTORNEY'S DKT NO. H1130 APPLICANT(S)

APPLICATION No. 10/614,177

Bin YU

Bin YU et al. FILING DATE

July 8, 2003

GROUP 2811

| | PTO-1449 | | | | | | | | | |
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| U.S. PATENT DOCUMENTS | | | | | | | | | | |
| EXAMINER'S INITIALS | PATENT NO. | DATE | | NAME | | CLASS | SUBCLASS | FILING DATE | | |
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| | OTHER DOCL | JMENTS (Inc | luding Autho | or, Title, | Date, Perti | nent Page | es, Etc.) | | | |
| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Copy of co-pending U.S. Patent Application No. 10/770,010; by Yider Wu et al., filed February 3, 2004 entitled: "Non-Volatile Memory Device," 21 page specification, 11 sheets of drawings. | | | | | | | | | | |
| Copy of co-pending U.S. Patent Application No. 10/632,971; by Ming-Ren Lin et al., filed August 4, 2003 entitled: "FinFET Flash Memory," 11 page specification, 9 sheets of drawings. | | | | | | | | | | |
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| EXAMINER | | | DATE CONSIDERED | | | | | | | |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).